

2 The effect of Atlantic sea surface temperature dipole mode on 3 hurricanes: Implications for the 2004 Atlantic hurricane season

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8 [1] Results from this study indicate that the dipole mode of
9 tropical Atlantic Ocean sea surface temperature (SST)
10 anomalies is correlated with the overall activity of
11 hurricanes as well as with the annual hurricane landfall
12 frequency along the southeast coast of the United States.
13 The tropical Atlantic SST dipole mode could affect
14 hurricanes in at least three ways: 1) modulating the
15 weather in West Saharan Africa; 2) influencing the local
16 SST and hence the atmosphere-ocean environment in the
17 hurricane main development region; 3) coupling with the
18 tropical and subtropical atmospheric circulation that control
19 the steering of hurricanes. The warm tropical North Atlantic
20 and cool tropical South Atlantic waters are likely one of the
21 main causes for Florida's hurricane havoc in 2004.
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27 1. Introduction

28 [2] By September 26, 2004, four hurricanes made landfall
29 in Florida in less than six weeks, making 2004 the busiest
30 hurricane season for Floridians. The active 2004 Atlantic
31 hurricane season was not a surprise in view of the recent
32 increase of Atlantic hurricane activity at decadal and multi-
33 decadal scales [Goldenberg *et al.*, 2001; Xie *et al.*, 2000,
34 2002]. In fact, predictions of above normal hurricane activity
35 for 2004 were issued well in advance by the National
36 Hurricane Center of the National Oceanic and Atmospheric
37 Administration (NOAA) (<http://www.nhc.noaa.gov>). What's
38 unusual about the 2004 Atlantic hurricane season is the rare
39 coupling between the large number of hurricanes formed in
40 the tropical Atlantic Ocean and the presence of a persistent
41 westward steering current associated with a stable subtropical
42 high pressure system over the northwest subtropical
43 Atlantic Ocean in August and September. Historically,
44 El Niño or the lack of it was often blamed for the inter-
45 annual variations of Atlantic hurricane activity [Gray, 1984],
46 which is, however, unlikely this time. During the summer
47 (June–September) of 2004, the sea surface temperature
48 (SST) anomalies in the tropical Atlantic Ocean exhibited a
49 well-defined dipole structure, with positive (negative)
50 anomalies north (south) of the equator (Figure 1a). This
51 cross-equatorial SST gradient persisted throughout summer
52 2004. Our study indicates that this Atlantic SST dipole mode
53 (DM) [Servain, 1991] could have played an important role in
54 creating Florida's 2004 hurricane havoc.

2. Effects of Atlantic SST Dipole Mode

55

[3] The DM, representing the first empirical orthogonal
56 function of the Atlantic SST, is a dominant climatic signal
57 in the region (see Marshall *et al.* [2001] for an in-depth
58 review). It is characterized by opposite SST anomalies
59 between the tropical North and South Atlantic Oceans.
60 Previous studies on the effects of Atlantic SST DM
61 concerned mainly decadal climatic variability [Chang *et al.*
62 1997]. Our study indicates that both the annual Atlantic
63 hurricane numbers and the annual hurricane landfall strikes
64 in Florida and the Caribbean islands are strongly correlated
65 with the Atlantic SST DM (Figure 1b). Both correlations
66 ($R = +0.5$ and $+0.4$, respectively) are significant at the 99%
67 confidence level. A warm tropical North Atlantic Ocean,
68 coupled with a cool tropical South Atlantic (the positive
69 phase of the DM) leads to an active Atlantic hurricane
70 season and brings an above normal number of hurricanes to
71 Florida and the Caribbean islands, and vice versa. Com-
72 posite hurricane tracks (Figure 2) associated with the
73 positive phase (solid) and the negative phase (dashed) of
74 the Atlantic SST DM clearly indicate a preference of more
75 westward tracks when the DM is in positive phase as in the
76 summer of 2004, than in the negative phase. Notice also
77 that the origins of the hurricane tracks during the positive
78 phase of the SST DM are generally clustered to the south
79 of the storm tracks during the negative phase of the SST
80 DM. Thus, hurricanes formed at relatively low latitudes
81 during the positive phase of the SST DM are more likely to
82 make landfall in the Caribbean and the southern coast of
83 continental United States, such as Florida.
84

[4] Atlantic SST DM could influence the Atlantic hurri-
85 cane activity and landfall in three ways (Figure 3). First, the
86 positive (negative) phase of the DM usually corresponds to
87 wet (dry) climatic conditions in West Saharan Africa [Lamb,
88 1978; Folland *et al.*, 1986]. Above normal rainfall in West
89 Sahel signals stronger easterly waves, which favor above
90 normal Atlantic hurricane activity [Gray *et al.*, 1993].
91 Secondly, the warm tropical North Atlantic water during
92 the positive phase of the DM provides an ideal environment
93 for tropical cyclogenesis through its influence on moist
94 static stability [Malkus and Riehl, 1960], as well as an
95 inverse relationship with local sea-level pressure and vertical
96 wind shear [Gray, 1984; Shapiro, 1982; Knaff, 1997].
97 Indeed, SSTs in the hurricane "main development region or
98 MDR" from 10° to 20° N between Africa and the Americas
99 [Goldenberg and Shapiro, 1996] contribute, with a large
100 percentage of variance, to the number of hurricanes gener-
101 ated within the MDR [Saunders and Harris, 1997]. Finally,
102 the Atlantic SST DM affects the subtropical surface pres-
103 sure, which, in turn, plays an important role in the North
104 Atlantic Oscillation (NAO) [Marshall *et al.*, 2001, and
105

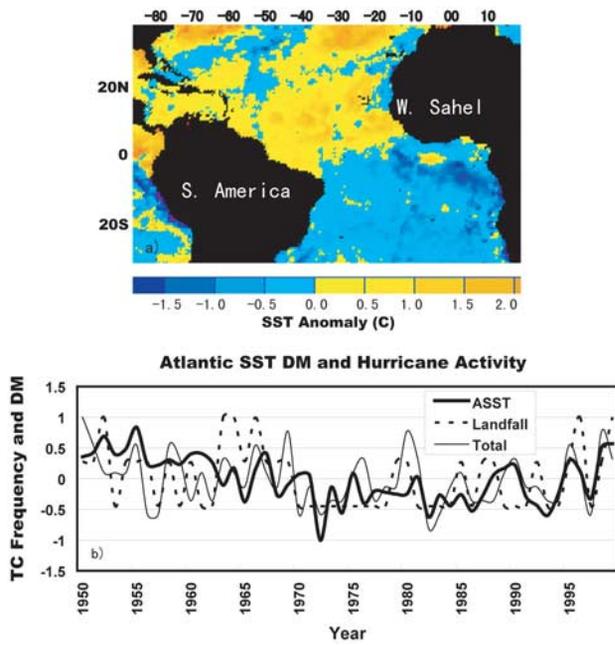


Figure 1. Atlantic SST dipole mode (DM) and hurricane landfall frequency. (a) Atlantic sea surface temperature (SST) anomalies ($^{\circ}\text{C}$) at the start of the 2004 Atlantic hurricane season (6/1/2004) (<http://www.osdpd.noaa.gov/PSB/EPS/SST/climo.html>). (b) Time series of DM (thick solid) computed as the amplitude of the 1st EOF of Atlantic SST; normalized anomalies of annual hurricane landfall frequency along the coast of Florida and the Caribbean islands (dashed); and annual Atlantic hurricane frequency (thin solid). Landfall is defined as the contact of storm eye-wall with coastline and only includes Atlantic hurricanes. Normalized anomaly is the anomaly (actual-mean) divided by the absolute value of the maximum anomaly.

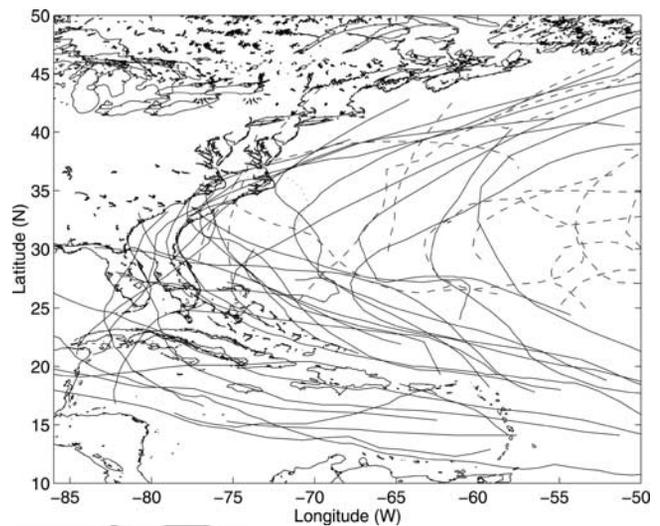


Figure 2. The composite hurricane tracks during negative and positive Atlantic SST DM. Dashed and solid tracks correspond to negative and positive DM years, respectively. More hurricanes move toward the southeast United States during positive DM, increasing the chance of hurricane landfall in the Caribbean islands and the U.S. southeast coast. All of these occurred when a weak warm event is developing in the tropical Pacific, which, if affected the 2004 Atlantic hurricane activity at all, was supposed to suppress it [Gray, 1984]. Thus, the active 2004 hurricane season was clearly not a result of the El Niño, but likely the consequence of the coupled atmo-

[6] It is evident that the Atlantic SST DM in the summer 129 of 2004 is associated with an active Atlantic hurricane 130 season, and the majority of the storms moved westward, 131 leading to a record number of hurricanes striking Florida, 132 and the Caribbean islands. All of these occurred when a 133 weak warm event is developing in the tropical Pacific, 134 which, if affected the 2004 Atlantic hurricane activity at 135 all, was supposed to suppress it [Gray, 1984]. Thus, the 136 active 2004 hurricane season was clearly not a result of the 137 El Niño, but likely the consequence of the coupled atmo- 138

106 references therein]. NAO is one of the oldest known 107 climatic weather patterns depicting the pressure differences 108 between the Azores and Iceland. The positive NAO phase 109 corresponds to higher (lower) surface pressure in the sub- 110 tropical Azores High (Icelandic low), whereas the negative 111 NAO phase depicts the opposite. The May and June 112 averaged NAO index is correlated with North Atlantic 113 hurricane tracks of the following hurricane season [Elsner, 114 2003]. Negative NAO phases are correlated with weaker 115 Azores High and straight-moving hurricane tracks that tend 116 to cross the Caribbean islands and the U.S. southeast coast.

117 **3. Discussion and Conclusions**

118 [5] Other studies suggested that the effect of North 119 Atlantic SST on the variability of Atlantic hurricanes is 120 small at inter-annual time scale [Shapiro and Goldenberg, 121 1998], but large at multi-decadal scale [Goldenberg et al., 122 2001]. In fact, the multi-decadal warming of SST in the 123 MDR and the associated climatic conditions that started 124 around 1995 were the primary basis for NOAA to predict an 125 active 2004 hurricane season. Whether or not Atlantic SST 126 DM is also an important factor modulating the inter-annual 127 variability of Atlantic hurricanes, as shown in this study, 128 needs further confirmation.

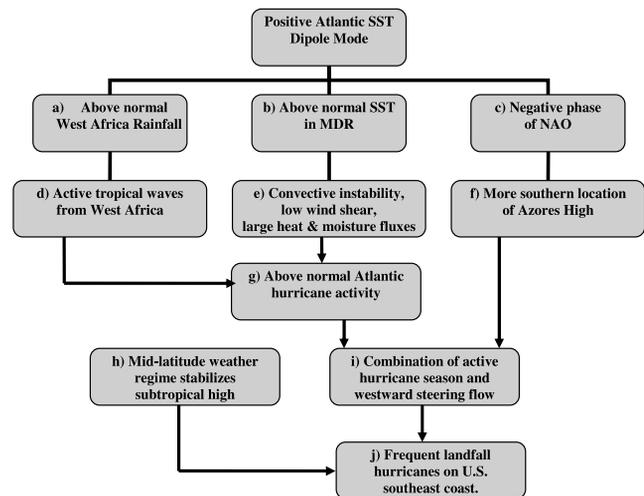


Figure 3. Schematic summary of the positive phase of the Atlantic SST DM and its effects on Atlantic hurricane activity and hurricane landfall frequency from the Caribbean islands to the U.S. southeast coast.

139 sphere-ocean response to the Atlantic SST DM. Historical
 140 relationships between the Atlantic SST DM and landfalling
 141 hurricanes in Florida and Caribbean islands seem to support
 142 this conclusion.

143 [7] The Atlantic SST DM was in a positive phase through-
 144 out summer 2004. The average July–September 2004 SST
 145 difference between tropical North Atlantic (0–20°N) and
 146 tropical South Atlantic (0–20°S) was 0.3°C. The average
 147 NAO index for May and June, 2004 (the two months when
 148 NAO was shown to be correlated with Atlantic hurricane
 149 tracks) [Elsner, 2003] computed by NOAA Climate Predic-
 150 tion Center (CPC) was –1.05, which signals a favorable
 151 condition for straight westward moving North Atlantic
 152 hurricane tracks [Elsner, 2003]. During the summer (June–
 153 September) of 2004, preliminary NOAA CPC reports
 154 (W. Thiaw, personal communication, 2004) indicated that
 155 slightly above or near normal rainfall occurred in West
 156 Saharan Africa. These observed climatic conditions in sum-
 157 mer 2004 are in keeping with those that favor more frequent
 158 landfall hurricanes along the southeast U.S. coast (Figure 3).

159 [8] In addition to the Atlantic SST DM and the associated
 160 coupled atmosphere-ocean processes in the tropical Atlantic
 161 region, there were likely other climatic factors that contrib-
 162 uted to the creation of the spatial and temporal characteristics
 163 of the 2004 Atlantic hurricanes and tracks. For example,
 164 extra-tropical processes, such as blocking patterns, might
 165 have also played a role in the creation of the persistent
 166 subtropical circulation pattern favorable for the westward
 167 steering of hurricanes in August and September 2004. A
 168 stable mid-latitude circulation pattern to the north of the
 169 Northwest Atlantic subtropical high could stabilize the
 170 subtropical high pressure system and consequently allow
 171 multiple hurricanes to follow similar tracks. Validating such
 172 hypothesis requires further analyses that are beyond the
 173 scope of this study.

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